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12-20-02

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Yuji FURUTA

**Examiner:** Unassigned

**Serial No.:** 09/735,039

**Group Art Unit:** 2852

**Filed:** December 12, 2000

**Docket:** 14139

**For:** APPARATUS AND METHOD FOR  
CONTROLLING IMAGE QUALITY  
REDUCTION OF IMAGE INFORMATION

**Dated:** December 4, 2002

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

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Sir:

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In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Publication No. 6-311043, dated November 4, 1994; and
2. Japanese Laid-Open Publication No. 7-30855, dated January 31, 1995.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 4, 2002.

Dated: December 4, 2002

  
Michelle Mustafa

The references were cited in an Official Action dated October 1, 2002 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No. 30,749

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(516) 742-4343

PJE:ahs



2852

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14139
In Re Application Of: <b>Yuji FURUTA</b>			
Serial No. 09/735,039	Filing Date December 12, 2000	Examiner Unassigned	Group Art Unit 2852
Title: <b>APPARATUS AND METHOD FOR CONTROLLING QUALITY REDUCTION OF IMAGE INFORMATION</b>			
<div style="text-align: center;"> <p>Address to:</p> <p><b>Assistant Commissioner for Patents</b></p> <p><b>Washington, D.C. 20231</b></p> </div> <div style="position: absolute; top: 20px; right: 20px; text-align: right;"> <p><b>RECEIVED</b>  <b>DEC 13 2002</b>  <b>Technology Center 2600</b></p> </div> <div style="text-align: center; margin-top: 20px;"> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:</p> <div style="margin-left: 40px;"> <p>1. a Final Action under 37 CFR 1.113, or</p> <p>2. a Notice of Allowance under 37 CFR 1.311,</p> <p>whichever occurs first.</p> </div> <p>Also submitted herewith is:</p> <div style="margin-left: 40px;"> <p><input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).</p> </div> </div> <div style="position: absolute; bottom: 20px; right: 20px; text-align: right;"> <p><b>RECEIVED</b>  <b>DEC 11 2002</b>  <b>TECHNOLOGY CENTER 2800</b></p> </div>			

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(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14139

In Re Application Of: **Yuji FURUTA**

Serial No.  
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Filing Date  
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Examiner  
Unassigned

Group Art Unit  
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Title: **APPARATUS AND METHOD FOR CONTROLLING QUALITY REDUCTION  
OF IMAGE INFORMATION**

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
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**Michelle Mustafa**

\_\_\_\_\_  
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Signature

**Paul J. Esatto, Jr., Reg. No. 30,749**  
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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

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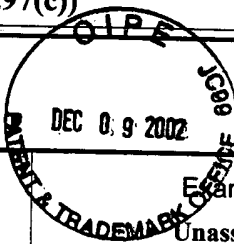
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**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



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*Signature of Person Mailing Correspondence*

**Michelle Mustafa**

*Typed or Printed Name of Person Mailing Correspondence*

Dated: **December 4, 2002**

*Signature*

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